IMO Control Private Limited

IMO IN I 4.5.10 Categories of findings and sanctions

Category Nr.	Corresponding category under			Explanation /Description	Sanctions / Measures
	NPOP	EU	COR		
IV	Major	Critical	Major	▪ there is no compliance to a specific requirement of the standards. ▪ the non-compliance is wide spread or systemic in the operation. ▪ the non-compliance affects the integrity of the organic or in-conversion products; ▪ the precautionary measures are not proportionate & appropriate and/or the controls that the operator has put in place are inefficient according to the assessment by IMO IN ▪ the operator fails to correct previous major non-compliances or repeatedly fails to correct other categories of non-compliances. ▪ there is no information from the traceability system to locate the affected product(s) in the supply and the products cannot be prevented from being placed on the market with reference to organic production.	▪ Corrective measures are necessary before current certification decision. ▪ Suspension of certification may be done till corrective measures are implemented. ▪ In extreme cases, cancellation/termination of certification may be done. ▪ Additional audits may be necessary. ▪ The entire production and stock will be blocked. No reference to organic production can be made by the operator in the labelling and advertising of the entire production. ▪ New conversion period is required ▪ Limitation of the certificate's scope ▪ Suspension of the certificate ▪ Withdrawal of the certificate

Category Nr.	Corresponding category under			Explanation /Description	Sanctions / Measures
	NPOP	EU	COR		
III	Major	Major	Major	▪ there is no compliance to a specific requirement of the standards. ▪ the non-compliance is limited to specific fields, individual farms in a group, crops, facilities, products or product lots/batches ▪ the non-compliance affects the integrity of the organic or in-conversion product; ▪ the precautionary measures are not proportionate & appropriate and/or the controls that the operator has put in place are inefficient according to the assessment by IMO IN ▪ the operator did not correct in a timely manner a minor non-compliance; ▪ the traceability system can locate the affected product(s) in the supply chain and the product can be prevented from being placed on the market with reference to organic production;	▪ Corrective measures are necessary before current certification decision. ▪ Affects the current certification of specific fields, individual farms in a group, crops, facilities, products or lots/batches. ▪ Only the identified areas, individual farms in a group and products are considered to be non-compliant and restricted from further certification. ▪ No reference to organic production in the labelling and advertising of the concerend lot or production can be made ▪ New conversion period may be required for identified areas ▪ Limitation of the certificate's scope ▪ Improvement of the implementation of the precautionary measures and/or the controls that the operator has put in place to ensure necessary compliance before certification.

Category Nr.	Corresponding category under			Explanation /Description	Sanctions / Measures
	NPOP	EU	COR		
II	minor	minor	minor	▪ there is partial compliance to a specific requirement of the standards. ▪ the non-compliance does not affect the integrity of the organic or in-conversion product; ▪ though the precautionary measures put in place by the operator are proportionate and/or appropriate, and the controls that the operator has put in place are efficient the implementation can be improved. ▪ the traceability system can locate the affected product(s) in the supply chain and the product can be prevented from being placed on the market with reference to organic production;	▪ No effect on current certification decision. ▪ Corrective measures or action plan are necessary before issuance of Transaction Certificates or next certification decision

Category Nr.	Corresponding category under			Explanation /Description	Sanctions / Measures
	NPOP	**EU**	**COR**		
I	OFI	OFI	OFI	▪ not a non-compliance, ▪ although not a specific requirement of a standard or not in the scope of a standard, this is pertinent to the overall quality management or administrative procedures or ▪ though compliance to a specific requirement of a standard is achieved, improvements are possible.	▪ No effect on current certification decision. ▪ No corrective measures are required. However, actions for improvement are encouraged.